# **ORIGINAL ARTICLE**

# Aprepitant for Cough in Lung Cancer A Randomized Placebo-controlled Trial and Mechanistic Insights

Jaclyn A. Smith<sup>1,2\*</sup>, Amélie Harle<sup>3,4\*</sup>, Rachel Dockry<sup>1,2</sup>, Kimberley Holt<sup>1,2</sup>, Philip Russell<sup>3</sup>, Alex Molassiotis<sup>5</sup>, Janelle Yorke<sup>3,6</sup>, Ryan Robinson<sup>7</sup>, Mark A. Birrell<sup>7,8</sup>, Maria G. Belvisi<sup>7,8</sup>, and Fiona Blackhall<sup>3,4</sup>

<sup>1</sup>Division of Infection, Immunity and Respiratory Medicine, Manchester Academic Health Sciences Centre, <sup>4</sup>Division of Molecular and Clinical Cancer Sciences, Manchester Academic Health Sciences Centre, and <sup>6</sup>Division of Nursing, Midwifery and Social Work, University of Manchester, Manchester, United Kingdom; <sup>2</sup>Manchester University National Health Service Foundation Trust, Manchester, United Kingdom; <sup>3</sup>The Christie National Health Service Foundation Trust, Manchester, United Kingdom; <sup>5</sup>School of Nursing, Hong Kong Polytechnic University, Hung Hom, Hong Kong; <sup>7</sup>Division of Airway Disease, Respiratory Pharmacology Group, National Heart and Lung Institute, Imperial College London, London, United Kingdom; and <sup>8</sup>Research and Early Development, Respiratory and Immunology, BioPharmaceuticals R&D, AstraZeneca, Gothenburg, Sweden

ORCID ID: 0000-0001-8837-4928 (J.A.S.).

# Abstract

**Rationale:** Effective cough treatments are a significant unmet need in patients with lung cancer. Aprepitant is a licensed treatment for nausea and vomiting, which blocks substance P activation of NK-1 (neurokinin 1) receptors, a mechanism also implicated in cough.

**Objectives:** To assess aprepitant in patients with lung cancer with cough and evaluate mechanisms in vagal nerve tissue.

**Methods**: Randomized double-blind crossover trial of patients with lung cancer and bothersome cough. They received 3 days of aprepitant or matched placebo; after a 3-day washout, patients crossed to the alternative treatment. The primary endpoint was awake cough frequency measured at screening and Day 3 of each treatment; secondary endpoints included patient-reported outcomes. *In vitro*, the depolarization of isolated guinea pig and human vagus nerve sections in grease-gap recording chambers, indicative of sensory nerve activation, was measured to evaluate the mechanism.

**Measurements and Main Results:** Twenty patients with lung cancer enrolled, with a mean age 66 years ( $\pm$ 7.7); 60% were female and 80% had non-small cell cancer, 50% had advanced stage, and 55% had World Health Organization performance status 1. Cough frequency improved with aprepitant, reducing by 22.2% (95% confidence interval [CI], 2.8–37.7%) over placebo while awake (P = 0.03), 30.3% (95% CI, 12.7–44.3) over 24 hours (P = 0.002), and 59.8% (95% CI, 15.1–86.0) during sleep (P = 0.081). Patient-reported outcomes all significantly improved. Substance P depolarized both guinea pig and human vagus nerve. Aprepitant significantly inhibited substance P–induced depolarization by 78% in guinea pig (P = 0.0145) and 94% in human vagus (P = 0.0145).

**Conclusions:** Substance P activation of NK-1 receptors appears to be an important mechanism driving cough in lung cancer, and NK-1 antagonists show promise as antitussive therapies.

Keywords: neurokinin 1; substance P; cough monitoring

(Received in original form June 15, 2020; accepted in final form September 18, 2020)

\*These authors contributed equally to this work.

Author Contributions: Conception and design clinical study: J.A.S., A.H., and F.B. Preclinical experiments: R.R., M.A.B., and M.G.B. Data generation and analysis clinical study: J.A.S., A.H., R.D., K.H., P.R., A.M., J.Y., and F.B. Drafting of the paper: J.A.S., A.H., M.G.B., and F.B. All authors reviewed the manuscript and approved the final draft.

Correspondence and requests for reprints should be addressed to Jaclyn A. Smith, M.B. Ch.B., F.R.C.P., Ph.D., Division of Infection, Immunity and Respiratory Medicine, University of Manchester, Education and Research Centre, Manchester University NHS Foundation Trust, Wythenshawe Hospital, Southmoor Road, Manchester M23 9LT, UK. E-mail: jacky.smith@manchester.ac.uk.

This article has a related editorial.

This article has an online supplement, which is accessible from this issue's table of contents at www.atsjournals.org.

Am J Respir Crit Care Med Vol 203, Iss 6, pp 737–745, Mar 15, 2021 Copyright © 2021 by the American Thoracic Society Originally Published in Press as DOI: 10.1164/rccm.202006-2359OC on September 23, 2020 Internet address: www.atsjournals.org

Supported by National Institute of Health Research (NIHR) through a Doctoral Research Fellowship awarded to A.H. (DRF-2010-03-55) and by donations from the North West Lung Charity and an unrestricted grant from Nerre Pharmaceuticals. The study also received support from the NIHR Manchester Clinical Research Facility (Wythenshawe and Christie sites). R.R. is supported by Biotechnology and Biological Sciences Research Council Studentship. J.A.S. and M.G.B. are supported by a Wellcome Investigator Award (207504/B/17/Z). J.A.S., J.Y., and F.B. are also funded by the Manchester Biomedical Research Centre, and J.A.S. is an NIHR Senior Investigator.

# At a Glance Commentary

# Scientific Knowledge on the

**Subject:** NK-1 (neurokinin 1) antagonists are established therapies for the treatment of chemotherapyinduced nausea and vomiting, acting in the central nervous system; however, this receptor and its natural ligand, substance P, have also been implicated in the cough reflex. Effective treatments for cough associated with lung cancer are a significant unmet need.

## What This Study Adds to the Field:

An NK-1 antagonist (aprepitant) significantly reduced cough frequency in a randomized controlled trial of patients with lung cancer, and it also inhibited substance P activation of vagal tissue. Substance P activation of NK-1 receptors may be an important mechanism driving cough in lung cancer, and NK-1 antagonists show promise as antitussive therapies.

Lung cancer is the leading cause of death from cancer in the United Kingdom, accounting for 35,300 deaths annually in 2015–2017 (1). Until recently, the morbidity associated with chronic coughing in patients with lung cancer was underestimated, and hence the treatment of cough in such patients remains an important unmet need. In an unselected UK lung cancer population attending oncology outpatient clinics, over half of patients reported cough, and two-thirds of these believed it was severe enough to warrant treatment (2). Chronic coughing is known to impact physical, psychological, and social aspects of daily living, but in lung cancer, cough also contributes to pain, fatigue, dyspnea, social isolation, and anxiety (3). Effective cough therapies are lacking, in part because of our limited understanding of the underlying pathophysiology but also because of the lack of well-designed trials incorporating validated endpoints (4). Moreover, it is often assumed that symptoms will improve with anticancer therapy; yet, despite the development of more effective treatments, cough often persists (5).

Cough is mediated by vagal afferent nerve fibers innervating the larynx and airways, synapsing in the nucleus tractus solitarius and paratrigeminal nucleus of the brainstem (6). Preclinical experiments show vagal airway C fibers are capable of manufacturing substance P (SP), a neuropeptide active at NK-1 (neurokinin 1) receptor. Although SP is primarily a neurotransmitter, it is also produced by inflammatory cells, including mast cells, macrophages, eosinophils, lymphocytes, and dendritic cells (7). In the central nervous system, the nucleus tractus solitarius is enriched with SP immunoreactive nerve terminals, and microinjection of SP into this region enhances cough responses via the NK-1 receptor (8). Furthermore, exposures such as cigarette smoke increase SP synthesis in vagal airway fibers, enhancing synaptic

transmission and cough responses, which are both blocked by NK-1 receptor antagonism (9). In animal models, NK-1 antagonists inhibit cough responses to inhaled irritant agents in five different species (10).

Although two previous clinical trials failed to demonstrate antitussive effects of NK-1 antagonism (11, 12), progress has since been made in cough assessment tools, including validated quality of life questionnaires and objective cough monitoring systems. Given the limitations of previous study endpoints and the poor central nervous system penetrance of some clinically tested compounds, the potential for a centrally acting NK-1 antagonist to be an effective antitussive treatment has never been ruled out. This study therefore aimed to provide proof of concept that NK-1 antagonism improves cough associated with lung cancer and to offer some insights into the possible mechanism of action. To do this, we assessed the antitussive effect of aprepitant, a potent, centrally active NK-1 receptor antagonist developed and licensed to treat chemotherapy-induced nausea and vomiting. We conducted a placebocontrolled study measuring objective cough frequency and validated patient-reported outcome measures in patients with troublesome cough associated with lung cancer. In addition, we assessed a possible peripheral mechanism of action by performing in vitro mechanistic studies to assess the activity of aprepitant on vagal nerve depolarization. Some of the results of these studies have been previously reported in the form of abstracts (13, 14).

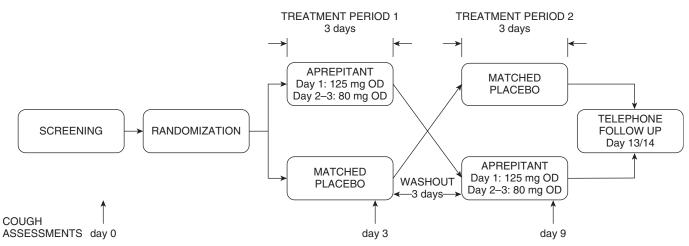


Figure 1. Study design of double-blind, randomized, placebo-controlled crossover trial assessing aprepitant for the treatment. OD = once daily.

# Methods

# **Clinical Study**

Study design. We performed a randomized, double-blind, placebo-controlled crossover trial in patients with cough associated with lung cancer attending oncology outpatient clinics at the Christie National Health Service Foundation Trust in Manchester, United Kingdom (Figure 1). Patients received a standard antiemetic course of aprepitant therapy (3 d duration; 125 mg once daily on Day 1 and 80 mg once daily on Days 2 and 3) or matched placebos; for randomization details, see online supplement. After a 3-day washout period, patients crossed over to the alternative treatment (placebo or aprepitant) for a further 3 days of treatment. Cough was assessed at screening and on Day 3 of each treatment using an ambulatory cough monitoring system and patient-reported outcomes, including a cough severity visual analog scale (VAS), cough impact questionnaire (Manchester Cough in Lung Cancer Scale [MCLCS]) (15), and global rating of change scale. A final follow-up evaluation was performed over the telephone at Day 13/14.

Patients. Adult patients with histologically confirmed non-small cell or small cell lung cancer and a bothersome cough  $(\geq 4$  wk duration) were enrolled. Patients with a World Health Organization performance status score of 0-2 who were willing and able to comply with the study protocol were eligible. The main exclusion criteria were subjects due to commence anticancer therapy during the trial, those within 6 weeks of commencement of chemotherapy, those within 8 weeks of starting a tyrosine kinase inhibitor, and those receiving/within 12 weeks of completion of thoracic radiotherapy. Patients receiving other treatments that may modulate cough, such as steroids, opiates, pregabalin, or gabapentin, were included as long as the cough was still troublesome, they had been on the treatment for at least 4 weeks. and the dose remained stable for the duration of the study. Patients on antibiotics were excluded from the trial, as were those reporting a respiratory tract infection within 4 weeks of enrollment. Ethical approval for the study was obtained from the local research ethics committee (13/NW/0084), and all patients provided written informed consent.

**Procedures.** Data on patient demographics, cancer characteristics, anticancer treatment, and comorbidities were collected at screening. Subjects underwent efficacy Table 1. Baseline Clinical and Demographic Characteristics

Characteristics (n = 20)	n (%)*
	n (70)
Sex	10 (00)
F M	12 (60) 8 (40)
Age, yr, mean (±SD)	66 (± 6.74)
Performance status (WHO)	
0 1	4 (20) 11 (55)
2	5 (25)
Smoking history	0 (20)
Never	1 (5)
Former Current	14 (70)
Smoking history, pack-years, median (IQR)	5 (25) 37 (20–47)
Duration of cough, mo, median (IQR)	17.5 (9.3–31.3)
Comorbidities (self-reported)	0 (15)
GERD	9 (45)
Asthma COPD	0 (0) 6 (30)
Other	13 (65)
Reflux according to BRI score	
No	13 (65)
Yes Type of cough	7 (35)
Dry	9 (45)
Productive	11 (55)
Concurrent medications	0 (45)
Opiates Proton pump inhibitors	9 (45) 10 (50)
ACE inhibitor	1 (5)
Steroids	3 (15)
Other (anticholinergics/salbutamol)	4 (20)
Histology NSCLC	16 (80)
SCLC	4 (20)
NSCLC histological subtype	
Squamous	7 (44)
Adenocarcinoma	5 (32) 1 (6)
Large Mixed	1(6)
Not otherwise specified	1(6)
Bronchoalveolar	1 (6)
Stage <sup>†</sup> ES SCLC	0 (0)
LS SCLC	4 (20)
IIIA NSCLC	6 (30)
IIIB NSCLC	4 (20)
IV NSCLC Tumor location	6 (30)
Central	13 (65)
Peripheral	7 (35)
Anticancer therapy	
On treatment Off treatment	4 (20)
Prior anticancer therapy	16 (80)
Chemotherapy	12 (60)
Tyrosine kinase inhibitor	3 (15)
Radiotherapy (thoracic)	
Radiotherapy (brain) Radiotherapy (bones)	
Thoracic surgery	0 (0)
Chemotherapy Tyrosine kinase inhibitor Radiotherapy (thoracic) Radiotherapy (brain) Radiotherapy (bones)	3 (15) 12 (60) 3 (15) 1 (5)

Definition of abbreviations: ACE = angiotensin-converting enzyme; BRI = Brief Reflux Inventory; COPD = chronic obstructive pulmonary disease; ES = extensive stage; GERD = gastroesophageal reflux disease; IQR = interquartile range; LS = limited stage; NSCLC = non-SCLC; SCLC = small cell lung cancer; WHO = World Health Organization.

<sup>\*</sup>Unless otherwise indicated.

<sup>†</sup>Cancer staged according to seventh edition of Tumor, Node, Metastasis in Lung Cancer of the International Association for the Study of Lung Cancer Staging Committee in 2009.

assessments at screening and during the last 24 hours of each 3-day treatment period. Safety was assessed through monitoring of adverse events, physical examinations, and vital signs. Concomitant medications were monitored throughout the study.

The primary endpoint was awake objective cough frequency collected using a cough monitoring device (VitaloJAK; Vitalograph Ltd.); sleep and 24-hour cough frequency were also determined (16). Secondary endpoints included changes in a 100-mm cough severity VAS, cough-specific quality of life (MCLCS) (15), and 15-point global rating of change scales for both cough severity and cough frequency at the end of each treatment period. Subjects also completed the European Organization for the Research and Treatment of Cancer (EORTC) Quality of Life Core Questionnaire and Lung Cancer Module. Researchers rated the patients' cough using the Common Terminology Criteria for Adverse Events (CTCAE version 4). For additional details of procedures, *see* online supplement.

*Statistical analyses.* The effect of treatment (aprepitant vs. placebo) on awake cough frequency was assessed using general estimating equations modeling of log transformed data (SPSS version 22; IBM Corp). The model was adjusted for the effect of baseline cough frequency and assessed for any influence of treatment sequence and period. Similar models were used to assess the effect of treatment on 24-hour cough frequency, sleep cough frequency, cough severity VAS, MCLCS, global rating of change scales, CTCAE score, and EORTC item 31 responses.

The sample size calculation used data from patients with refractory chronic cough (17). Based on a paired t test, 18 participants were needed to detect a difference in cough

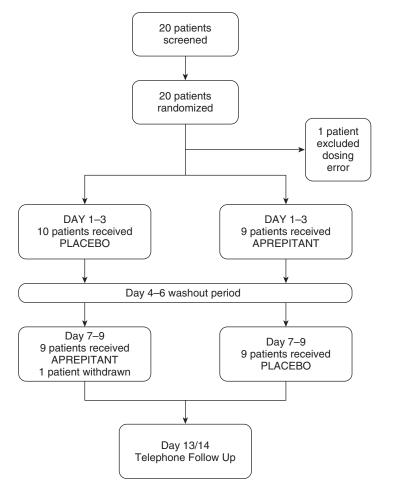


Figure 2. Consort diagram showing study patient flow through trial. Only one patient withdrew because of development of a respiratory tract infection.

frequency of 30% between aprepitant and placebo at 5% significance and 90% power, assuming the change in 24-hour cough frequency was normally distributed with a SD of 36.5%. Data in participants with refractory chronic cough suggest that a 20–30% decrease in cough frequency from baseline is likely to be the minimal clinically important difference (18). Allowing for 10% attrition, the recruitment target was 20 patients to obtain complete data on 18.

#### **Preclinical Studies**

Animals. Male guinea pigs (Dunkin-Hartley) weighing 300–500 g were housed in temperature-controlled (21°C) facilities for at least 1 week before any procedures. Experiments were performed in accordance with the UK Home Office guidelines for animal welfare based on the Animals (Scientific Procedures) Act of 1986 and the Animal Research: Reporting of *In Vivo* Experiments guidelines (19).

*Human tissue.* Vagal nerve tissue, which is surplus to donor requirements, was acquired and consent for use was obtained by the International Institute for the Advancement of Medicine. Approvals for use in scientific research and ethics were obtained from the Royal Brompton and Harefield Trust (09/H0708/72).

Collection of isolated vagus nerve. Guinea pigs were killed by overdose of pentobarbitone (200 mg/kg i.p.); vagus nerve trunks were dissected as described previously (20). Human vagus nerve trunks were placed into Krebs-Heinseleit solution, which was gassed with 95%  $O_2/5\%$   $CO_2$  at room temperature until use.

Recording of isolated vagus nerve depolarization. Segments ( $\sim$ 15 mm) of guinea pig or human vagus nerve were mounted in a "grease-gap" recording system (21). The nerve segments were perfused constantly with Krebs-Heinseleit solution (at 37°C, bubbled with 95% O<sub>2</sub>/5% CO<sub>2</sub>), and after each stimulus, depolarization was recorded on a Lectromed 2 (Digitimer) chart recorder with DAM50 differential amplifier (World Precision Instruments).

Stock solutions of SP (Sigma) were prepared using 0.1% bovine serum albumin in distilled H<sub>2</sub>O whereas stock solutions of aprepitant (Cayman Chemical) were prepared in neat DMSO. Stock solutions were aliquoted and kept at  $-20^{\circ}$ C until use on the day of the experiment, when they were diluted to working concentrations with modified Krebs-Henseliet solution (Krebs: 118 mM NaCl, 5.9 mM KCl; 1.2 mM MgSO<sub>4</sub>, 1.2 mM NaH<sub>2</sub>PO<sub>4</sub>, 2.5 mM CaCl<sub>2</sub>; 6.6 mM glucose; and 25.5 mM NaHCO<sub>3</sub>).

Two repeatable baseline responses to SP (1  $\mu$ M; concentration chosen from response curves) were first obtained before pretreatment with the NK-1 antagonist aprepitant (10  $\mu$ M) for 10 minutes before a 2-minute application of the SP in the presence of the aprepitant. After a 10-minute washout, a recovery response to SP was then obtained to confirm nerve viability at the end of the experiment. If a recovery response could not be obtained, the data were disregarded.

# Results

# **Patient Characteristics**

Twenty patients were recruited between October 2013 and November 2014. Baseline demographics and clinical characteristics are shown in Table 1, and patient study flow is shown in Figure 2. One patient was withdrawn after the first treatment because of a respiratory tract infection and a second was withdrawn after starting the treatment at screening in error. Otherwise, there was very high compliance with the study schedule and no missing data, as seen in Table 2.

## **Efficacy Assessments**

After 3 days of aprepitant treatment, awake cough frequency was significantly improved compared with placebo treatment, with a reduction of 22.2% (95% confidence interval [CI], 2.8–37.7) over placebo (P = 0.03); see Table 2 and Figure 3A. Of note, the reduction in awake cough frequency with placebo treatment in this study was extremely small (0.0%; 95% CI, 0.4 to -1.8). There was no significant effect of treatment sequence or period and no significant interaction between baseline awake cough frequency and the efficacy of aprepitant, suggesting the treatment effect was independent of screening cough

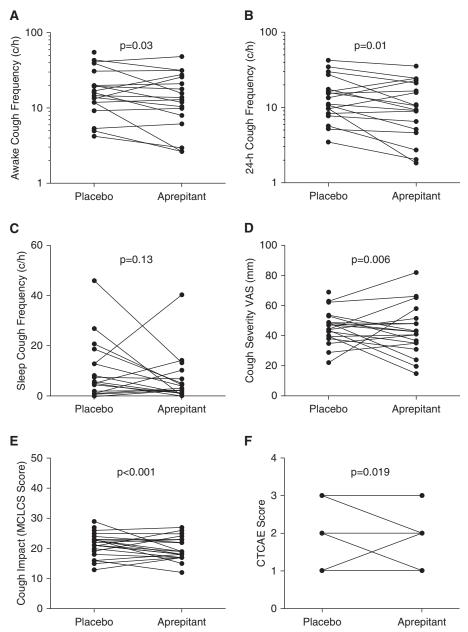
frequency. Age and sex also had no significant influence on efficacy. Of note, an intention-totreat analysis (including the patient who received the study treatment at screening in error) produced almost identical results, with a reduction of 22.7% in awake cough frequency (P=0.03). Cough frequency also improved over the whole 24-hour recording period (mean reduction, 30.3%; 95% CI, 12.7–44.3; P=0.002) and during sleep (59.8%; 95% CI, 15.1–86.0; P=0.081); however, cough frequency during sleep is highly variable over time, and therefore the effects did not quite reach statistical significance (Figures 3B and 3C).

Importantly, all patient-reported cough measures also significantly improved. The mean cough severity VAS score improved by 9.5 mm (95% CI, 3.5–15.4) over placebo treatment (P=0.002). Improvements were also seen in the MCLCS cough-specific quality of life score, EORTC Quality of Life Core Questionnaire and Lung Cancer Module (Table 2), and in the global rating of

Table 2. Efficacy Endpoint Data Comparing Screening with Aprepitant and Placebo

	Screening	Aprepitant	Placebo	Placebo-adjusted Effect of Aprepitant	P Value
Awake cough frequency Geometric mean (95% Cl), c/h	16.3 (9.7 to 27.1)	12.1 (7.9 to 18.4)	16.1 (11.3 to 23.0)	-22.2% (-37.7 to -2.8)	0.026
Patients in analysis	19	18	19	_	
Sleep cough frequency Median (IQR), c/h Patients in analysis	4.6 (1.9 to 10.0) 19	2.2 (0.5 to 5.4) 18	5.3 (1.8 to 13.0) 19	-59.8% (-86.0 to 15.1) —	0.081
24 h cough frequency Geometric mean (95% Cl), c/h	12.6 (7.8 to 20.4)	9.1 (6.0 to 13.9)	· · · · ·	-30.3% (-44.3 to -12.7)	0.002
Patients in analysis	19	18	19	—	
Cough severity VAS Mean score (95% Cl), mm Patients in analysis	54.5 (45.3 to 63.7) 19	39.6 (32.3 to 46.8) 18	49.6 (43.6 to 55.7) 19	-9.5 (-15.4 to -3.5)	0.002
Cough impact MCLCS Mean score (95% Cl) Patients in analysis	24.8 (22.1 to 27.5) 19	19.1 (17.1 to 21.1) 18	21.3 (19.6 to 23.0) 19	−2.0 (−3.2 to −0.9)	0.001
Item 31 EORTC QLQ-C30 + LC13 Mean score (95% Cl) Patients in analysis	2.8 (2.6 to 3.1) 19	2.4 (2.1 to 2.6) 18	2.6 (2.3 to 2.8) 19	0.2 (0.0 to 0.4)	0.016
CTCAE v4.0 Mean score (95% Cl) Patients in analysis	2.0 (1.7 to 2.3) 19	1.7 (1.4 to 1.9) 18	1.9 (1.7 to 2.1) 19	0.2 (0.0 to 0.4)	0.019
Global rating of change scales Cough frequency Mean score (95% Cl)	n/a	2.4 (1.4 to 2.3)	0.3 (-0.9 to 1.5)	2.1 (0.8 to 3.3)	0.001
Cough severity Mean score (95% Cl) Patients in analysis	n/a n/a	1.6 (0.4 to 2.8) 18	-0.8 (-1.2 to 1.0) 19	1.7 (0.2 to 3.2)	0.028

Definition of abbreviations: c/h = coughs per hour; CI = confidence interval; CTCAE = Common Terminology Criteria for Adverse Events; EORTC = European Organization for the Research and Treatment of Cancer; IQR = interquartile range; MCLCS = Manchester Cough in Lung Cancer Scale; n/a = not applicable; QLQ-C30+LC13 = Quality of Life Core Questionnaire and Lung Cancer Module; VAS = visual analog scale.



**Figure 3.** Efficacy measures for aprepitant and placebo treatments. Note logarithmic scale on *y*-axes for (*A*) awake and (*B*) 24-hour cough frequency data. (*C*–*F*) Sleep cough frequency (*C*) is displayed on a linear scale, as are patient-reported outcomes (*D*–*F*). c/h = coughs per hour; CTCAE = Common Terminology Criteria for Adverse Events (grade 3 = worse cough severity); MCLCS = Manchester Cough in Lung Cancer Scale (50 = worse cough impact); VAS = visual analog scale (100 mm = worse cough severity).

change scales for both cough frequency (P = 0.001) and cough severity (P = 0.028). Researchers also rated the patients' cough with the CTCAE as improved with aprepitant compared with placebo (Table 2).

# Safety

Aprepitant was well tolerated, with only mild adverse events reported. There were no serious

adverse events and no grade 3 or 4 toxicities (severe/life-threatening); however, there was a greater number of adverse events on aprepitant compared with placebo (Table 3).

#### **Preclinical Studies**

*Isolated vagus nerve responses to SP. In vitro*, SP depolarized the guinea pig vagus nerve in a concentration-dependent manner (Figure 4A). SP (1 µM) was selected for further antagonist studies. In the isolated guinea pig vagus, pretreatment with aprepitant (10 µM; 0.1% DMSO) significantly inhibited responses to SP (1  $\mu M)$  from 0.083 mV  $\pm$  0.007 to 0.0180 mV  $\pm$  0.008, a reduction of 78% (*P*=0.003; n = 5; Figure 4B). Pretreatment with vehicle (0.1% DMSO) had no effect on SP (1  $\mu$ M) responses (P = 0.882; n = 4). These results were mirrored in human tissue (n = 3 women,67–73 yr old), in which aprepitant (10  $\mu$ M; 0.1% DMSO) significantly inhibited responses to SP (1 µM) from 0.087  $mV \pm 0.013$  to 0.003  $mV \pm 0.003$ , a reduction of 97% (P = 0.0145; n = 3; Figure 4C).

# Discussion

To the best of our knowledge, this is the first placebo-controlled study to objectively demonstrate the antitussive efficacy of a NK-1 antagonist in humans and also the first trial in patients with lung cancer to employ acoustic cough monitoring. The improvements in cough frequency, with reductions over placebo of 22% during awaking hours and 30% over the whole 24-hour period, were sufficient to be appreciated by study participants, who recorded significant improvements on all patient-reported outcomes, which is striking given the very short (3 d) duration of treatment. Furthermore, our preclinical data provide mechanistic insights, suggesting that the inhibition of peripheral vagal nerves may contribute to the influence of aprepitant on coughing in addition to an effect in the central nervous system that might be predicted on the basis of the antiemetic mode of action.

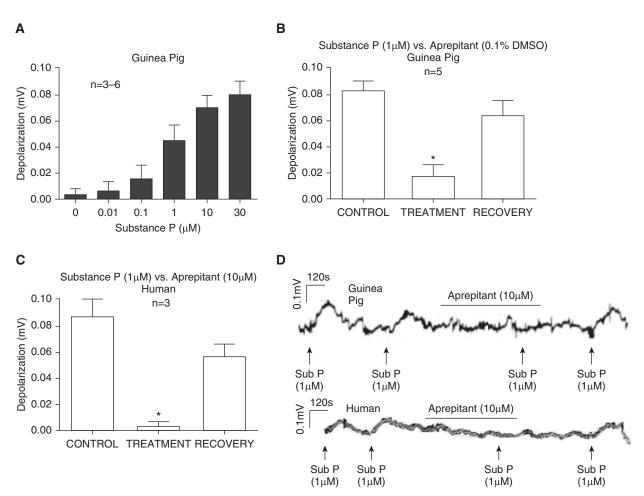
The control of cancer symptoms is a key component of palliative care, which, when delivered early to patients with metastatic, incurable non-small cell lung cancer, has been shown to not only improve quality of life and mood but also prolong survival (22). Yet effective therapies to address the main symptom cluster in lung cancer-breathlessness, cough, and fatigue-are lacking. Indeed, efficacious agents for cough in any clinical condition are needed. Recent progress in the development of treatments for refractory chronic cough has suggested that therapies specifically targeting neuronal function via P2X3 antagonism may be

Table 3.	Adverse	Events	Reported	during	the Trial
----------	---------	--------	----------	--------	-----------

Adverse Events	Placebo ( <i>n</i> = 19)	Aprepitant (n = 19)
Constipation Vomiting Fatigue Vertigo Headaches Dyspnea Gastroesophageal reflux disease Chest infection Vaginal pruritus Conjunctivitis Diarrhea Malaise	$\begin{array}{c} 0 & (0) \\ 1 & (5.2) \\ 0 & (0) \\ 1 & (5.2) \\ 1 & (5.2) \\ 0 & (0) \\ 1 & (5.2) \\ 0 & (0) \\ 1 & (5.2) \\ 0 & (0) \\ 1 & (5.2) \\ 0 & (0) \\ 1 & (5.2) \\ 0 & (0) \\ 0 & (0) \end{array}$	$\begin{array}{c} 1 & (5.5) \\ 0 & (0) \\ 2 & (11) \\ 2 & (11.0) \\ 0 & (0) \\ 1 & (5.5) \\ 0 & (0) \\ 1 & (5.5) \\ 1 & (5.5) \\ 1 & (5.5) \\ 0 & (0) \\ 1 & (5.5) \\ 1 & (5.5) \\ 1 & (5.5) \end{array}$

All were grade 1, and there were no serious adverse events; only three were believed to possibly relate to aprepitant. Data are presented as n (%).

effective (23-25). This study provides the first objective evidence that a similar approach may also be valuable in cough associated with lung cancer. However, it should not be assumed that treatments targeting specific neuronal mechanisms will implicitly be effective for cough across a range of respiratory diseases. Recent evidence suggests changes in airway nerve function are likely to be disease specific, and therefore treatments may need to be tailored to particular neurophenotypes in respiratory disorders (26). Indeed, two recent phase 2b studies of other centrally acting NK-1 antagonists (serlopitant and orvepitant) in patients with refractory chronic cough rather than lung cancer both failed to achieve their primary endpoints (27, 28).



**Figure 4.** Concentration-dependent depolarization of guinea pig vagus with (*A*) substance P was blocked by (*B*) aprepitant, a reduction of 78% (P = 0.003, n = 5). Substance P depolarization of human vagus was also blocked by aprepitant, a reduction of 97% (P = 0.0145; n = 3). (*C*) Example tracings from vagus nerve preparations showing two control depolarization responses before the addition of aprepitant (10  $\mu$ M) and then (*D*) showing the response to substance P (1  $\mu$ M) recovered after washout. Data are mean (± SEM). \*P < 0.05. Sub P = substance P.

Although the improvements achieved with aprepitant could be considered modest compared with those seen with P2X3 antagonism in refractory cough (37-75% reductions over placebo over treatment periods of up to 12 wk), the duration of treatment in this study was much shorter by comparison. Nonetheless, the improvement in the cough severity VAS after 3 days of aprepitant treatment reported in this study approached that seen with 10 weeks of gabapentin treatment (12 mm over placebo) in refractory chronic cough (29), and the reduction in the MCLCS is comparable to that reported in a 12-week feasibility study of a nonpharmacological intervention to address a respiratory distress symptom cluster in lung cancer (30). Further work is needed to evaluate whether NK-1 antagonism will deliver antitussive benefits with longer treatment durations, but the involvement of SP and NK-1 receptors in neuroplastic changes at synapses in the brainstem would suggest greater benefits might be expected from long-term therapy. Consistent with this notion, an unblinded trial, which was inspired by this study, recently randomized 128 patients with advanced lung cancer to receive aprepitant or standard antitussive therapy for 7 days (31). Although objective cough monitoring was not used and the study was not placebo controlled, significant improvements in cough severity VAS and MCLCS were found at Day 9 over standard antitussive care, and the absolute changes were increased compared with those seen in this study.

The participants in this study were typical of a lung cancer outpatient population. The greater proportion of female patients may be a consequence of selecting those with troublesome cough. Women are overrepresented in specialist clinics treating chronic cough (32), and even in health, women exhibit heightened cough reflex responses compared with men (33). It is notable that at enrollment to this study, almost half of patients were receiving opioid treatments and the majority had completed anticancer therapy, yet all still suffered from bothersome coughing with cough frequencies comparable with those of patients presenting with chronic cough as their main complaint (34).

Aprepitant and fosaprepitant, the intravenously administered prodrug of aprepitant, are currently the only licensed NK-1 antagonists in the United Kingdom, with rolapitant also available in the United States. Used in the prevention of chemotherapy-induced and postoperative nausea and vomiting, the antiemetic effects of NK-1 antagonists are believed to occur in the brainstem, where they prevent activation of the area postrema and nucleus tractus solitarius by afferent vagal inputs from the gastrointestinal tract and circulating emetic agents. Apart from their role in emesis, SP and the NK-1 receptor have been implicated in the regulation of a number of physiological and pathophysiological processes, including pain, inflammation, anxiety/depression, and itch, and thus newer NK-1 antagonists have been explored as antidepressants and antipruritics. NK-1 receptors are believed to play an important role in inducing and maintaining pruritus through both peripheral inflammatory mechanisms operating in the skin and processes in the central nervous system. In the skin, SP released by activation of a subgroup of C fibers plays a major role in the induction of "neurogenic inflammation," producing vasodilation and inflammatory cell recruitment. In rodents, a similar effect can be observed in the airways, with tachykinin release also producing bronchoconstriction and mucus hypersecretion. The reporting of airway neurogenic inflammation in rodent models previously led to considerable efforts to develop a variety of NK antagonists as novel asthma therapies; however, disappointing results in phase II clinical trials questioned the relevance of such mechanisms in asthma and in humans (35). Although it is impossible to determine from our studies whether the main antitussive

effects of aprepitant are located in the airways or the central nervous system, our study does provide evidence of a possible inhibitory effect on peripheral nerves contributing to the mode of action of aprepitant. However, rather than C fibers releasing tachykinins such as SP to evoke neurogenic inflammation and cause cough, our studies suggest that SP activates airway C-fiber afferents to evoke cough.

This study has some limitations. The clinical study sample size was small, as this proof of concept study was only powered to assess the effect of aprepitant on objective cough frequency. This limits the conclusions about the general applicability of aprepitant for the treatment of cough in patients with lung cancer and also restricts the analysis of predictors of response. Nonetheless, we were able to demonstrate significant improvements in a range of cough measurements, including patient-reported outcomes, therefore suggesting the improvements in objective cough frequency observed were clinically meaningful. In addition, the dose level and duration were determined by the licensed doses optimized to treat nausea and vomiting, and therefore the relevance of these to antitussive effects needs further exploration with studies including a range of doses and longer duration.

#### Conclusions

These data suggest that the SP/NK-1 pathway plays a significant role in cough associated with lung cancer. Larger trials are warranted to evaluate this effect further, especially over longer treatment durations to determine whether antitussive effects are sustained or even enhanced. Antitussive efficacy, together with the established effects on nausea and vomiting and potential benefits for mood (36) and sleep quality (37), make the NK-1 receptor an attractive target for the development of treatment to palliate lung cancer symptoms.

**Author disclosures** are available with the text of this article at www.atsjournals.org.

#### References

 Cancer Research UK. Lung cancer statistics. London, United Kingdom: Cancer Research UK; 2020 [accessed 2020 Jun 1]. Available from: https://www.cancerresearchuk.org/healthprofessional/cancer-statistics/statistics-by-cancer-type/lungcancer#heading-One.  Harle A, Molassiotis A, Buffin O, Burnham J, Smith J, Yorke J, et al. A cross sectional study to determine the prevalence of cough and its impact in patients with lung cancer: a patient unmet need. BMC Cancer 2020;20:9.

 Molassiotis A, Lowe M, Ellis J, Wagland R, Bailey C, Lloyd-Williams M, et al. The experience of cough in patients diagnosed with lung cancer. Support Care Cancer 2011;19:1997–2004.

- Molassiotis A, Bailey C, Caress A, Brunton L, Smith J. Interventions for cough in cancer. *Cochrane Database Syst Rev* 2010:CD007881.
- Sarna L, Cooley ME, Brown JK, Chernecky C, Elashoff D, Kotlerman J. Symptom severity 1 to 4 months after thoracotomy for lung cancer. *Am J Crit Care* 2008;17:455–467, quiz 468.
- Bonvini SJ, Birrell MA, Smith JA, Belvisi MG. Targeting TRP channels for chronic cough: from bench to bedside. *Naunyn Schmiedebergs Arch Pharmacol* 2015;388:401–420.
- Joos GF, Germonpré PR, Pauwels RA. Role of tachykinins in asthma. *Allergy* 2000;55:321–337.
- Mazzone SB, Mori N, Canning BJ. Synergistic interactions between airway afferent nerve subtypes regulating the cough reflex in guineapigs. J Physiol 2005;569:559–573.
- 9. Joad JP, Sekizawa S, Chen CY, Bonham AC. Air pollutants and cough. *Pulm Pharmacol Ther* 2007;20:347–354.
- Canning BJ. Central regulation of the cough reflex: therapeutic implications. *Pulm Pharmacol Ther* 2009;22:75–81.
- Fahy JV, Wong HH, Geppetti P, Reis JM, Harris SC, Maclean DB, et al. Effect of an NK1 receptor antagonist (CP-99,994) on hypertonic saline-induced bronchoconstriction and cough in male asthmatic subjects. Am J Respir Crit Care Med 1995;152:879–884.
- Pascoe S, Knight H, Chung K. DNK333 a dual NK1/NK2 receptor antagonist does not inhibit cough in COPD [abstract]. Am J Respir Crit Care Med 2007;175:A451.
- Harle A, Smith J, Molassiotis A, Lofthouse K, Dockry R, Russell P, et al. A placebo-controlled trial of aprepitant for cough in lung cancer [abstract]. J Clin Oncol 2015;33:2.
- Harle A, Blackall F, Molassiotis A, Holt K, Dockry R, Russell P, et al. Neurokinin-1 receptor antagonism for the treatment of cough in lung cancer [abstract]. Eur Respir J 2016;48:PA5060.
- Molassiotis A, Ellis J, Wagland R, Williams ML, Bailey CD, Booton R, et al. The Manchester cough in lung cancer scale: the development and preliminary validation of a new assessment tool. J Pain Symptom Manage 2013;45:179–190.
- McGuinness K, Holt K, Dockry R, Smith JA. Validation of the VitaloJAK 24 hour ambulatory cough monitor [abstract]. *Thorax* 2012;67:A131.
- Kelsall A, Houghton LA, Jones H, Decalmer S, McGuinness K, Smith JA. A novel approach to studying the relationship between subjective and objective measures of cough. *Chest* 2011;139:569–575.
- Nguyen A, Muccino D, Birring S, Bacci E, Vernon M, Mines D, et al. Defining minimal clinically important differences (MCID) in chronic cough: analyses of objective cough counts from a phase 2 randomized controlled trial [abstract]. J Allergy Clin Immunol 2019; 143:AB169.
- Kilkenny C, Browne WJ, Cuthill IC, Emerson M, Altman DG. Improving bioscience research reporting: the ARRIVE guidelines for reporting animal research. J Pharmacol Pharmacother 2010;1:94–99.
- 20. Grace M, Birrell MA, Dubuis E, Maher SA, Belvisi MG. Transient receptor potential channels mediate the tussive response to prostaglandin E2 and bradykinin. *Thorax* 2012;67:891–900.
- Birrell MÅ, Belvisi MG, Grace M, Sadofsky L, Faruqi S, Hele DJ, et al. TRPA1 agonists evoke coughing in guinea pig and human volunteers. Am J Respir Crit Care Med 2009;180:1042–1047.
- Temel JS, Greer JA, Muzikansky A, Gallagher ER, Admane S, Jackson VA, *et al*. Early palliative care for patients with metastatic non-smallcell lung cancer. *N Engl J Med* 2010;363:733–742.

- Abdulqawi R, Dockry R, Holt K, Layton G, McCarthy BG, Ford AP, et al. P2X3 receptor antagonist (AF-219) in refractory chronic cough: a randomised, double-blind, placebo-controlled phase 2 study. *Lancet* 2015;385:1198–1205.
- 24. Smith JA, Kitt MM, Butera P, Smith SA, Li Y, Xu ZJ, *et al.* Gefapixant in two randomised dose-escalation studies in chronic cough. *Eur Respir J* 2020;55:1901615.
- 25. Smith JA, Kitt MM, Morice AH, Birring SS, McGarvey LP, Sher MR, et al.; Protocol 012 Investigators. Gefapixant, a P2X3 receptor antagonist, for the treatment of refractory or unexplained chronic cough: a randomised, double-blind, controlled, parallel-group, phase 2b trial. *Lancet Respir Med* 2020;8:775–785.
- 26. Belvisi MG, Birrell MA, Khalid S, Wortley MA, Dockry R, Coote J, *et al.* Neurophenotypes in airway diseases: insights from translational cough studies. *Am J Respir Crit Care Med* 2016;193:1364–1372.
- Menlo Therapeutics Inc. Menlo therapeutics announces results from a phase 2 clinical trial of seriopitant for the treatment of refractory chronic cough. Redwood City, CA: Menlo Therapeutics Inc.; 2018. Available from: http://ir.menlotherapeutics.com/index.php/pressreleases.
- Smith J, Ballantyne E, Kerr M, McGarvey L, Morice A, Sher M, et al. The neurokinin-1 receptor antagonist orvepitant improves chronic cough symptoms: results from a Phase 2b trial [abstract]. *Eur Respir J* 2019; 54:PA600.
- Ryan NM, Birring SS, Gibson PG. Gabapentin for refractory chronic cough: a randomised, double-blind, placebo-controlled trial. *Lancet* 2012;380:1583–1589.
- Yorke J, Lloyd-Williams M, Smith J, Blackhall F, Harle A, Warden J, et al. Management of the respiratory distress symptom cluster in lung cancer: a randomised controlled feasibility trial. Support Care Cancer 2015;23:3373–3384.
- Noronha V, Bhattacharjee A, Patil VM, Joshi A, Menon N, Shah S, et al. Aprepitant for cough suppression in advanced lung cancer: a randomized trial. Chest 2020;157:1647–1655.
- Morice AH, Jakes AD, Faruqi S, Birring SS, McGarvey L, Canning B, et al.; Chronic Cough Registry. A worldwide survey of chronic cough: a manifestation of enhanced somatosensory response. *Eur Respir J* 2014;44:1149–1155.
- 33. Hilton ECY, Baverel PG, Woodcock A, Van Der Graaf PH, Smith JA. Pharmacodynamic modeling of cough responses to capsaicin inhalation calls into question the utility of the C5 end point. *J Allergy Clin Immunol* 2013;132:847–855, e1–e5.
- 34. Kelsall A, Decalmer S, Webster D, Brown N, McGuinness K, Woodcock A, et al. How to quantify coughing: correlations with quality of life in chronic cough. Eur Respir J 2008;32:175–179.
- Ramalho R, Soares R, Couto N, Moreira A. Tachykinin receptors antagonism for asthma: a systematic review. *BMC Pulm Med* 2011; 11:41.
- Ratti E, Bellew K, Bettica P, Bryson H, Zamuner S, Archer G, et al. Results from 2 randomized, double-blind, placebo-controlled studies of the novel NK1 receptor antagonist casopitant in patients with major depressive disorder. J Clin Psychopharmacol 2011;31: 727–733.
- Ratti E, Carpenter DJ, Zamuner S, Fernandes S, Squassante L, Danker-Hopfe H, *et al.* Efficacy of vestipitant, a neurokinin-1 receptor antagonist, in primary insomnia. *Sleep (Basel)* 2013;36:1823–1830.